

(19)



Europäisches Patentamt

European Patent Office

Office européen des brevets



(11)

EP 0 854 588 A2

B1

(12)

EUROPEAN PATENT APPLICATION

(43) Date of publication:
22.07.1998 Bulletin 1998/30

(51) Int Cl. 6: H04B 7/005, H04L 27/227

(21) Application number: 98250012.6

(22) Date of filing: 15.01.1998

(84) Designated Contracting States:
AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC
NL PT SE
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: 16.01.1997 JP 5667/97

(71) Applicant: NEC CORPORATION
Tokyo (JP)(72) Inventor: Vasic, Dobzica
Minato-ku, Tokyo (JP)(74) Representative: Patentanwälte Wenzel & Kalkoff
Grubesallee 26
22143 Hamburg (DE)

(54) Power control and carrier synchronization in a cellular mobile telephone system

(57) In order to reproduce a highly precise carrier for a coherent detection in a cellular mobile CDMA system, a first stage carrier estimation is performed by using pilot symbols inserted into a received signal and having

ing a known code pattern, a data symbol train of the received signal is preparatively detected by using the estimated carrier and a second stage carrier estimation is performed for the received signal by using the detected data symbol train and the pilot symbols.

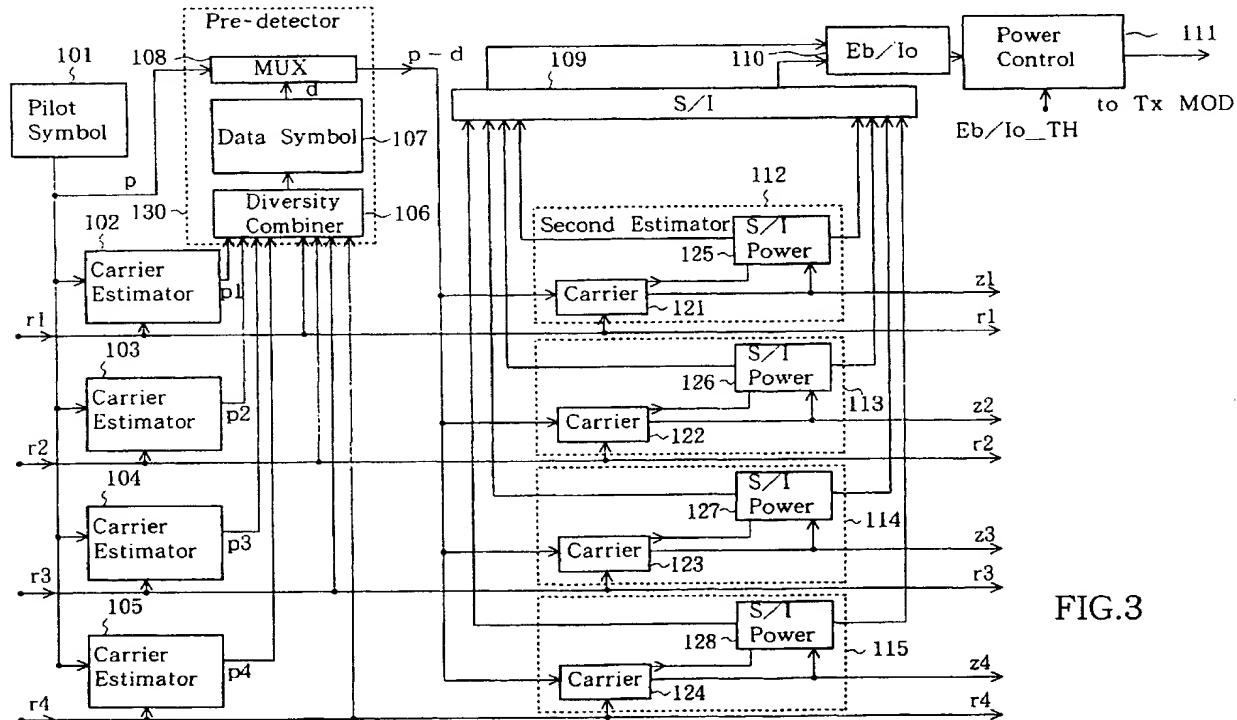


FIG.3

Description**Background of the Invention****5 Field of the Invention**

The present invention relates to a cellular mobile telephone system and, particularly, to a power control for a closed loop transmitter power controlling in a code division multiple access (CDMA) cellular mobile telephone system. In more detail, the present invention relates to a technique for carrier synchronization in a coherent detecting data communication system.

Description of Related Art

CDMA is a modulation and multiple access technique based on spread spectrum communication that has been applied to improve capacity in digital cellular radio communication systems. Code division multiple access using direct sequence (for convenience, herein called CDMA) is one of techniques for facilitating communication in which a large number of system users are present. In the CDMA system, all the users employ the same code for encoding and decoding of their respective information sequence, the transmitted signals in the common spectrum are distinguished from one another by superimposing a different pseudo-random pattern, also called a code, on each transmitted signal. Thus a particular receiver can recover the transmitted information intended for it by knowing the pseudo-random pattern, i.e., the key, used by corresponding transmitter.

The cellular mobile channel typically can be characterised by two separate phenomena, average path loss and fading. The average path loss can be described statistically by log-normal distribution whose mean is proportional to inverse fourth power of the path distance. The second phenomena has Rayleigh fading characteristic. The Rayleigh fading process is caused by the physical environment and results in copies of the signal arriving simultaneously from many directions with different transmission delays. This causes significant phase differences between the paths with the possibility for destructive summation, resulting in deep fades. Fading is very disruptive to the channel, which results in poor communications. While Rayleigh may be independent for forward (cell-to-mobile) and reverse (mobile-to-cell) links, log-normal shading normally will exhibit reciprocally.

Rapid fading is a basic problem in digital mobile communications. With respect to electric power efficiency, coherent detection schemes are superior compared with the differentially coherent or noncoherent detection. However, carrier recovery, which is necessary for coherent detection, suffers from the time-variant nature of fading channels. The power efficiency obtained by coherent detection in digital communication systems can be improved only when a carrier synchronization unit is provided in the receiver. Due to implementation considerations and lack of a robust phase estimation algorithm, differential detection or other non-coherent techniques have historically been used in fading channels. Significant performance improvements can be achieved if near coherent demodulation is realized. Linear modulation schemes, such as multi-phase shift keying (M-PSK) or multiquadrature amplitude modulation (M-QAM) when employing coherent reception potentially form highly favorable communications schemes. The power advantage of coherent detection over non-coherent detection remains or is actually enhanced when channel coding or co-channel interference are considered. When the channel is corrupted by Rayleigh fading, resulting in rapidly varying channel phase, an efficient carrier synchronization unit which derives carrier signal from the received signal is essential for successful detection. The efficient carrier synchronization is particularly important in a CDMA system for the reverse link wherein a common pilot channel cannot be afforded.

Power control is very important system requirement for a CDMA system, since only by controlling of power of each user can resources be shared equally among users and capacity maximized. In order to maximize the capacity of CDMA systems in terms of the number of simultaneous calls in given system bandwidth, the transmitted power of each mobile unit is controlled so that its signal arrives at the cell site with the minimum required signal-to-interference ratio. Power control is essential in any CDMA system in order to mitigate the "near/far problem" preventing users that are geometrically closer to the base station from "overpowering" users that are farther away. Furthermore, the nature of fading channels cause power variation that must be compensated if it is possible. To equalize the received powers a combination of open and closed loop is used. The goal of the open loop is to adjust transmitted power according to changes in received power. For reverse link open loop, the mobile stations measure the received power level from the cell sites and adjust their transmitter power in an indirectly proportional manner, attempting to have all mobile station transmitted signals arrive at the cell site with the same nominal power level. The open loop control can cope with the very slow shadow type fading.

In the power control in the reverse link, the base station measures the related receiving power level or more precisely measures E_b/I_o (ratio of signal energy per bit E_b , to interference power spectral density I_o) of the respective related mobile stations and compares the measured ratios with an adjustable threshold. A determination is made to

transmit a power-up command or a power-down command to the mobile station. The power adjustment command signals the mobile station to nominally increase or to decrease the mobile station transmit power by a predetermined amount. The rate of power adjustment command transmission must be high enough to permit tracking of slow Rayleigh fading, approximately 1000 commands per second. The power adjustment command is sent to the mobile station in the forward channel addressed to the mobile station. The mobile station combines the received adjustment commands with open loop estimate to obtain the final value for transmit radiated power.

The goal of the closed loop is to provide rapid corrections to the open loop estimate in order to maintain the optimal transmit power. This close loop correction accommodates gain tolerance and unequal propagation losses between the forward and reverse links. The variations in relative path losses and shadowing effects will generally be slow enough to be controlled. The slow Rayleigh fading could be controlled too, however, the variation associated with fast Rayleigh fading could be too rapid to be tracked by power control. It is known that effectiveness of the combination of interleaving and coding in combating the effects of power variation due to slow Rayleigh fading is reduced. At low speed (slow fading) the power control reacts to compensate for fading. The power control and interleaving/coding are most effective in complementary parameter regions, thus providing a degree of robustness for both fast and slow Rayleigh fading. Another benefit of power control is that each user transmits only as much energy as is required, thus prolonging battery life in portable transmitters.

The bit-error-rate/frame-error-rate (BER/FER) performances in a CDMA system are directly related to the closed loop power control efficiency in combatting the effects of received power variation. Further, by controlling power unnecessary system interference is minimized, increasing overall system capacity. The accuracy of Eb/lo measurement for the purpose of closed loop power control is essential for CDMA cellular system performance, so that the receiver can overcome deleterious fading, providing a required degree of robustness. The Eb/lo measurements for low signal to interference ratios suffer high degradation and introduce errors in power control. For precise power control the accurate and reliable Eb/lo measurements are required.

In a coherent detection data communication system for the reverse link, the known pilot symbol are usually inserted and periodically transmitted with data symbols. The receiver interpolates the channel measurement provided by the pilot symbols to obtain a phase and amplitude reference for coherent detection. The transfer function of the channel is estimated by using the pilot symbols and the data symbols are detected on the basis of the estimated transfer function. However, ordinary symbol interpolations like linear interpolation, low-pass filter interpolation and Gaussian interpolation, have redundancy and can not accurately estimate fading multiplicative distortion without pilot symbol rate increase. The same pilot symbols are used for Eb/lo measurements. In order to minimize losses caused by the transmission of the pilot symbols, the ratio of the transmitted pilot symbols to the transmitted data symbols is usually low, and using only pilot symbols for Eb/lo measurements can not always satisfy requirements for accurate Eb/lo measurement.

When the number of pilot symbols per slot is small, it is impossible to precisely estimate signal power (S) and interference power (I) for the purpose of closed-loop power control by using only pilot symbols. The usage of data decisions and carrier estimates obtained by an ordinary interpolation for power measurements gives significant improvements compared with the case where only the pilot symbols are used. According to this method, the re-modulated signal, that is, the interpolated reference, is calculated by using the decisions and estimated carriers. Signal power is calculated by using the remodulated signal. The interference power is calculated by using difference between the received signal and the re-modulated signal. However, the usual estimation of the carrier using the usual ordinary pilot interpolation suffers a degradation for low signal power to interference power ratio (S/I) and further improvement in Eb/lo measurement is possible if the carrier signal can be estimated more accurately.

Further, when the number of pilot symbols per slot or frame is small and S/I ratio is low, particularly for high diversity order when S/I ratio per path is low, the carrier estimation error of an ordinary pilot interpolation becomes large and the degradation in carrier estimation causes a degradation in receiver BER performance.

Summary of the Invention

An object of the present invention is to provide a novel and improved method for closed loop transmitter power controlling in a cellular mobile system. The method according to the present invention may be used to control transmitter power of the closed loop transmitter in the reverse link of a system using the coherent detection schemes.

Another object of the present invention is to provide a novel and improved method of carrier synchronization.

Power control is very important for the CDMA system. In order to obtain favorable effects in communication capacity, quality and other benefits, the CDMA system uses a tight power control. The power control extenuates unnecessary system interference which influences the whole system capacity.

The reliability and accuracy of the Eb/lo measurement is indispensable in the closed loop power control. The present invention proposes a novel and improved method for measuring the Eb/lo. According to the proposed method, a precise power control is realized, resulting in an improved BER/FER performance of a receiver and a reduced re-

ceiving power deviation, that is, power control error. Therefore, the system capacity is increased.

The carrier recovery, that is, carrier synchronization, required in the coherent detection is influenced by the time-varying characteristic of the fading channel. According to the present invention, the carrier tracking performance in the pilot symbol interpolation method is improved to thereby reduce the number of required pilot symbols.

5 The Eb/lo measurement and the carrier estimation are extended to include data symbols decision. In the present invention, the data symbols and the pilot symbols are divided into frames or slots. On the basis of the measured Eb/lo value in one slot, a power up or power-down command is transmitted to a mobile station. The carrier signal is estimated for each received path by using the interpolated pilot symbols. The estimated carrier is used to perform a combination and pre-detection of the coherent diversity in a Rake receiver architecture in which signals in all of the 10 communication paths are combined in phase. The pre-detection is performed for further estimation and a result of the predetection is not coincident with a result of an actual detection. The data symbols detected by the pre-detection are used to remove modulation of a receiving signal or demodulate the receiving signal in order to provide conditions for the measurement and estimation in the second stage. In the second stage, the carrier and power estimations are 15 performed. Symbols or samples from each slot is divided into a plurality of groups so that during period of one group the signal can be considered quasi-static. An accumulation and averaging of samples in each group is performed to estimate the carrier and the S/I. In order to obtain an estimated carrier value, an accumulation value and the average values from all of the symbol groups are interpolated. The estimated carrier is also an estimated value of the receiving 20 signal which is demodulated. By using the signal thus estimated, the signal power is calculated. The interference signal is estimated as a difference between the receiving signal and the estimated signal. The result of estimation in the second stage is used in both the carrier recovery and the Eb/lo measurement in an actual detection.

When the receiver is operating in a region of reasonable symbol error, the accuracy and reliability of the estimated Eb/lo value is improved by using data symbol decisions. When the number of samples is increased by including the data symbols for measurement, the measurement error of Eb/lo is reduced. The measurement error of Eb/lo is further 25 substantially reduced by the estimation in the second estimation. The data symbols are effective in the estimation of both the Eb/lo and the carrier signal. It is possible to reduce the amount of calculation and the complication by commonly processing the carrier estimation and the Eb/lo measurement.

As mentioned above, it is possible to indirectly improve the closed loop power control performance and the carrier 30 tracking performance by including the data symbols determined in the first stage estimation in performing the proposed second stage estimation. In the second stage estimation, the symbols are divided to a plurality of groups and the Eb/lo is estimated by estimating the carrier signal, and the accumulation and averaging of the symbols are performed 35 every group. Deviation of the receiving signal power becomes small compared with that based on the single step estimation. Further, since the possibility of over-estimation of the Eb/lo for a low S/I and higher order variety is reduced according to the present method, the Eb/lo can be controlled to a smaller value. Further, by dividing the samples to the groups, the estimation error in one group influences only that group and does not influence the estimation of the whole slot. Thus, the BER performance and the performance of the whole receiver are improved. According to the present method, it is possible to reduce the number of the pilot symbols, or to widen a gap between the adjacent pilot symbols and, therefore, the efficiency of the system is improved.

Brief Description of the Drawings

40 Fig. 1 shows a frame format of a transmission signal;

Fig. 2 is a block diagram of a CDMA transmitter and receiver of a cell device, showing a portion thereof related to a closed loop power control and carrier synchronization according to the present invention;

Fig. 3 is a block diagram of a typical channel estimation unit to which the present invention is applied; and

45 Fig. 4 is a block diagram showing, in detail, a circuit construction for performing a second stage carrier estimation and a signal/interference power measurement used in the channel estimation unit shown in Fig. 3.

Detailed Description of Preferred Embodiments

50 Fig. 1 shows a frame format to be used for a signal transmission, according to the present invention. A transmitter in each mobile station inserts known symbols, that is, pilot symbols, into a transmission signal coherently. A receiver on the side of a cell device extracts samples corresponding to the pilot symbols by using the pilot symbols and interpolates the samples. With this interpolation, an estimation value of transfer function of a fading channel is obtained. This is equivalent to estimation of a carrier. The same pilot symbols are used in a closed loop power control processing 55 for Eb/lo measurement. The transmitter of each mobile station transmits first M pilot symbols, then N data symbols, and new M pilot symbols and so on, in the order. N/M ratio is preferably larger than 15 and transmission loss caused by the pilot symbol insertion can be negligible as a result. When the number M of the pilot symbols in each frame or slot is usually 1 to 5. When M is larger than 1, samples corresponding to the pilot symbols in one slot are accumulated

and averaged. By averaging the samples, influence of accompanying noise or influence of interference are substantially reduced. By using these averaged samples in the interpolation processing, performance of a system for interpolating the pilot symbols is improved.

In the present invention, the Eb/lo value is measured in an interval of one slot and a power control command is transmitted to the mobile station, to regulate transmission power thereof once in every slot period. In some cases, in order to improve the estimation of carrier, an additional pilot symbol may be inserted into an intermediate portion of each slot. The present invention can be applied to such case.

Before describing the present method of the closed loop power control and carrier estimation in detail, an arrangement and purpose of the channel estimation unit will be described briefly with reference to Fig. 2 which is a block diagram of a receiver/transmitter of a cell device for performing the power control and carrier estimation according to the present invention. The receiver/transmitter shown in Fig. 2 is used to communicate with a specific mobile station. A CDMA receiver portion of the receiver/transmitter shown in Fig. 2 performs a diversity combining, coherent detection, release of interleaving and soft decision Viterbi decoding. In Fig. 2, processing at a baseband frequency is mainly shown. Other processing such as processing at RF and IF frequencies, up/down conversion processing, timing recovery processing and other processings are not shown. In Fig. 2, the diversity reception is performed through two communication paths each including an antenna (not shown) and an receiving system. Reference numerals 1 and 2 depict receiving filters provided between the antennas and the receiving systems, respectively. The receiving systems include RAKE receivers 10 and 20, respectively, which receive signals arriving at the respective antennas with different delays through the respective receiving filters 1 and 2. The RAKE receiver 10 includes a plurality of RAKE fingers (11, 12) and the RAKE receiver 20 includes a plurality of RAKE fingers (21, 22). The RAKE fingers remove the spreading of spectrum of an information signal, respectively. A channel estimation unit 40 extracts phases and amplitudes from receiving signals supplied from the RAKE receivers 10 and 20. In a first stage, the channel estimation unit 40 interpolates channel measurement values given by the pilot symbols to obtain a reference carrier for pre-detection. From the pre-detection, hard data is determined by a second stage carrier estimation and signal power and interference power measurements. The thus obtained reference carrier is used in the detection performed in the second stage. A diversity combiner 30 coherently combines the path signals in a direction in which the signal /interference ratio becomes maximum. An output of the diversity combiner 30 is supplied to a deinterleaver 50. A soft decision Viterbi decoder 60 determines the most probable information train.

The channel estimation unit 40 further measures Eb/lo for a specific mobile station. In response to the measured Eb/lo level, the channel estimation unit 40 further generates a power adjustment command which is supplied to a transmitter modulator 70. As mentioned previously, bits of the power adjustment command are used in a related mobile station to regulate a transmission power. The power adjustment command instructs the mobile station to increase or decrease transmission power by a predetermined amount (step size) of about 1.0 dB. When the received Eb/lo measurement value is smaller than a preset level given by a cell device processor (not shown), a command bit is generated to indicate that an increase of transmission power of the mobile station is necessary. Similarly, when the received measurement value is larger than the preset level, the power adjustment command instructs the mobile station to reduce the transmission power. The transmitter modulator 70 spread-spectrum- modulates an information signal designated to a user to transmit to a specific mobile station. The transmitter modulator 70 further receives power adjustment command bit from the channel estimation unit 40 and spread-spectrum modulates these bits in order to a transmission to the mobile station.

A signal power and an interference power are measured by using pilot symbols received during 1 pilot symbol period of M samples and accumulates and averages them. In order to perform the carrier estimation, the same pilot symbols are accumulated and averaged. If an accumulation distortion due to fading is constant over the pilot period of M pilot symbols, the modulation is removed by rotating the receiving samples suitably. In order to rotate the receiving samples, the known pilot symbols are used. All of the pilot symbols are rotated such that vectors of the pilot symbols are made in the same direction so that the accumulation and averaging of the pilot symbols are possible. The average value is calculated as an estimated carrier. Power having average value thus calculated is an estimation value of the signal power. The interference power is obtained by measuring a difference between the rotated receiving signal and the calculated average value.

The Eb/lo measurement and the carrier estimation by means of accumulation and averaging of the pilot samples will be further described. The linearly modulated baseband receiving signal {r1, r2, ..., rM} is represented in the form of complex numbers as follows:

$$r1 = c1a1 + n1$$

$$r2 = c2a1 + n2$$

$$rM = cMaM + nM$$

5

where

10 { c_1, c_2, \dots, c_M } are carrier signals,
 { n_1, n_2, \dots, n_M } are interference signals (including noise),
 { a_1, a_2, \dots, a_M } are pilot symbols, and

15 M is the number of pilot symbols.
 All signals are represented by complex numbers having in-phase and quadrature (I/Q) components.
 When the pilot symbols are rotated suitably, the following are obtained:

$$r1_R = c_1 + n_1 a_1^*$$

$$20 r2_R = c_2 + n_2 a_2^*$$

$$25 rM_R = c_M + n_M a_M^*$$

30 where * represents complex conjugate and

$$a_1 a_1^* = a_2 a_2^* = \dots = a_M a_M^* = 1,$$

35 that is, the multiple phase shift keying (M-PSK) modulation. The mean value is calculated by averaging as follow:

$$40 \text{Mean} = C_1 = (1/M)(r1_R + r2_R + \dots + rM_R) \\ = (1/M)(c_1 + c_2 + \dots + c_M) + (1/M)(n_1 a_1^* + n_2 a_2^* + \dots + n_M a_M^*)$$

45 The estimated signal power S is given by the following equation:

$$S = \text{Mean} \cdot \text{Mean}^*$$

50 and the estimated interference power I is given by the following equation:

$$55 I = (1/M)\{(r1_R - \text{Mean})(r1_R - \text{Mean})^* + \dots \\ + (rM_R - \text{Mean})(rM_R - \text{Mean})^*\}$$

The estimated mean value is the estimated value of the carrier signal as shown by C_1 . If C_1 is a carrier estimated by using M pilot symbols of a current slot and C_0 is a carrier estimated by using M pilot symbols of a preceding slot, the carrier signal can be interpolated by using the usual linear interpolation as follow:

$$P_m = [1 - (m/K)]C_0 + (m/K)C_1$$

where

5

$M = 1, 1, 2, \dots, k-1$ and

$K = N + M$, that is, K is the number of symbols in each slot.

Although the example using the linear interpolation has been described, a higher order interpolation may be applied.

10 Since it is possible to reliably detect the phase difference between the transmission signal and the receiving signal by using the known pilot symbols, the coherent detection of absolute phase is possible.

When the number of pilot symbols in each slot is large, the measurement error of E_b/I_0 is reduced and, therefore, the estimation error of carrier is reduced with increase of the number M of the pilot symbols in each slot. However, the number of pilot symbols in each slot is limited in order to minimize loss due to transmission of these pilot symbols. In 15 order to reduce the estimation error, it is necessary to increase the number of samples by including the data symbols for the power measurement.

The diversity combining is a preferable method to reduce the influence of fading. There are two methods to be used in the E_b/I_0 measurement in the diversity combining. One of the methods is to measure E_b/I_0 of the diversity combined signal and the other method for estimating the whole E_b/I_0 by measuring E_b and I_0 for every communication 20 path and adding and averaging the thus obtained E_b 's and I_0 's. The first method using the diversity combining signal has some advantages under practical conditions that the E_b/I_0 value is small and the number of communication paths is dynamically changed. A relation between the E_b/I_0 of the combining signal and the BER does not depend substantially on the condition of the communication path. However, the second method can measure power more reliably by measuring E_b and I_0 every communication path and adding and averaging all E_b 's and I_0 's to estimate E_b/I_0 . According 25 to the second method, power is controlled such that the E_b/I_0 value becomes small. Therefore, the influence of the carrier estimation error on the E_b/I_0 measurement becomes smaller. Further, since the carrier estimation unit can be used commonly to measure the transmission power in the respective communication paths, the use of the second method does not make the system so complicated. For these reasons, the second method is preferable in order to measure the E_b/I_0 value according to the present invention. However, the first method may be used in lieu of the 30 second method. The second method which uses the communication path signals will be further considered.

The diversity combining can be extended as follow:

Considering only two communication paths (a, b) with using the same notation,

In the first communication path (a):

35 $\{r_{1a}, r_{2a}, \dots, r_{Ka}\}$ are receiving modulation signals,

$\{p_{1a}, p_{2a}, \dots, p_{Ka}\}$ are carrier signals extracted from the interpolated pilot symbols,

In the second communication path (b):

40 $\{r_{1b}, r_{2b}, \dots, r_{Kb}\}$ are receiving modulation signals,

$\{p_{1b}, p_{2b}, \dots, p_{Kb}\}$ are carrier signals extracted from the interpolated pilot symbols,

where K is all symbols in every slot and the carrier signal is extracted by the usual linear interpolation as mentioned previously.

45 The receiving signals $\{r_{1c}, r_{2c}, r_{Kc}\}$ after the diversity combining and the maximum ratio combining thereof are added is represented by the following equations:

$$r_{1c} = r_{1a} p_{1a} + r_{1b} p_{1b}$$

50

$$r_{2c} = r_{2a} p_{2a} + r_{2b} p_{2b}$$

55

$$r_{Kc} = r_{Ka} p_{Ka} + r_{Kb} p_{Kb}$$

These can be generalized to higher order diversity.

The hard decision data $\{d_1, d_2, \dots, d_N\}$ is obtained by using the receiving signals $\{r_{1c}, r_{2c}, \dots, r_{Kc}\}$. This hard decision data is obtained for the second stage carrier estimation and is different from the actual detection data. The reliability of the decided data is improved by using the signals which are diversity combined. Since these hard decision data symbols are combined with the known pilot symbols, data/pilot vectors $\{d_1, d_2, \dots, d_N\}$ are formed for the second stage estimation. The second stage estimation for a specific communication path will be described.

The samples of the communication path signals $\{r_1, r_2, \dots, r_K\}$ are suitably rotated to remove the modulation. In order to rotate these samples, the data/pilot vectors $\{d_1, d_2, \dots, d_N\}$ are used. With such rotation, the continuous samples are arranged in the same direction for a further accumulation and averaging. After the rotation, the following signals

$\{r_{1_R}, r_{2_R}, \dots, r_{K_R}\}$ are obtained:

$$r_{1_R} = r_1 d_1^*$$

$$r_{2_R} = r_2 d_2^*$$

:

$$r_{K_R} = r_K d_K^*$$

The rotated samples $\{r_{1_R}, r_{2_R}, \dots, r_{K_R}\}$ are divided to three groups (a, b, c) each including L symbols, that is, $K = 3L$. The vectors $\{r_{1_R}, r_{2_R}, \dots, r_{K_R}\}$ can be described as follow:

$$\{r_{1_R}, r_{2_R}, \dots, r_{K_R}\}$$

$$= \{\{r_{a1_R}, r_{a2_R}, \dots, r_{aL_R}\}, \{r_{b1_R}, r_{b2_R}, \dots, r_{bL_R}\},$$

$$\{r_{c1_R}, r_{c2_R}, \dots, r_{cL_R}\}\}$$

35

Assuming that the fading accumulation distortion is constant throughout a time period corresponding to L symbols, the mean value is calculated for every group by using the accumulation and averaging.

40

$$\text{Mean_a} = C_a = (1/L) \{r_{a1_R} + r_{a2_R} + \dots + r_{aL_R}\}$$

$$\text{Mean_b} = C_b = (1/L) \{r_{b1_R} + r_{b2_R} + \dots + r_{bL_R}\}$$

45

$$\text{Mean_c} = C_c = (1/L) \{r_{c1_R} + r_{c2_R} + \dots + r_{cL_R}\}$$

The second stage carrier signal estimation values $\{z_1, z_2, \dots, z_K\}$ are generated by interpolating the accumulated and averaged values C_a, C_b, C_c . In this embodiment, the linear interpolation is applied to a range between two continuously calculated mean values. In order to cover all samples in the whole slot, the interpolation is extended to a border of the slot. The estimated values $\{s_{1_R}, s_{2_R}, \dots, s_{K_R}\}$ of the rotated receiving signals (modulation is removed) are equivalent to the estimated carrier signals and the following equation is established:

55

$$\{s_{1_R}, s_{2_R}, \dots, s_{K_R}\} = \{z_1, z_2, \dots, z_K\}$$

The interference signals $\{i_1, i_2, \dots, i_K\}$ are estimated as the difference between the rotated signals $\{r_{1_R}, r_{2_R}, \dots,$

r_{K_R} and the estimated signals $\{s_{1_R}, s_{2_R}, \dots, s_{K_R}\}$ and represented by the following equation:

$$\{i_1, i_2, \dots, i_K\} = \{r_1_R, r_2_R, \dots, r_{K_R}\} - \{s_{1_R}, s_{2_R}, \dots, s_{K_R}\}$$

5

The signal power S is estimated by the power of estimated signals $\{s_{1_R}, s_{2_R}, \dots, s_{K_R}\}$ and the interference signal power I is estimated by the power of the interference signal $\{i_1, i_2, \dots, i_K\}$.

This can be generalized by using the number of groups, which is other than 3. The signals of each group are estimated in the above description as quasi static. The number of groups, which is suitable, depends upon fading frequency and frequency drift caused by the incompleteness of the automatic frequency control (AFC). The number of symbols in each group must be as large as possible under the given conditions. The estimated power and the estimated interference power in all the communication paths are combined to calculate all Eb/Io for the closed loop power control.

Fig. 3 shows in detail the channel estimation unit 40 shown in Fig. 2 to explain the processing performed by the channel estimation unit 40. In Fig. 2, the channel estimation unit 40 uses as the input signal a baseband signals (signals r_1 to r_4) of the respective communication paths in the RAKE receivers, which are represented by complex numbers. Although four communication paths are shown in Fig. 2, it can be easily generalized to any number of fingers and communication paths. The carrier estimation unit 40 transfers the same communication path signals r_1 to r_4 to the diversity combiner 30. The carrier estimation signals z_1 to z_4 from the carrier estimator unit 40 are also supplied to the diversity combiner 30 as the output representing the coherent maximum rate combining and a result of the actual detection. The channel estimator unit 40 further outputs the power up/down command bit as the output for the closed loop power control, as mentioned previously.

Referring to Fig. 3, the channel estimation unit 40 includes first stage carrier estimators 102 to 105, a pre-detector 130, second stage estimators 112 to 115, an Eb/Io calculator 110 and a closed loop power control command generator 111. The input vectors for the respective communication paths are modulated complex baseband receiving signals (in the case of 4 fingers shown in Fig. 3, signals r_1 to r_4).

In order to obtain the reference phase and the reference amplitude to be used in the pre-detection, the first stage estimators 102 to 105 interpolate the channel measurement values given by the pilot symbols. The estimated carrier signals p_1 to p_4 are input to the diversity combiner 106. The diversity combiner 106 combines the communication path signals coherently. The diversity combiner 106 corrects the fading accumulation distortion and weights the communication path signals suitably to maximize the signal power to interference power ratio. The combined signal is supplied to a data symbol pre-detector 107. The data symbol pre-detector 107 performs a hard decision and outputs preparatively detected data symbols. A multiplexer 108 combines the preparatively detected data symbols and the known pilot symbols to form pilot/data symbols vectors p_d for the second stage estimation. The pilot/data symbols p_d are used in the second stage estimation to remove the modulation.

The second stage estimation is executed for every communication path by using the second stage estimators 112 to 115. The respective communication path estimators include second stage carrier estimators 121 to 124 and signal to interference power ratio estimators 125 to 128. The second stage carrier estimators 121 to 124 produce the carrier signals z_1 to z_4 for actual detection. The signal to interference power ratio estimators 125 to 128 measure the signal power and interference power. All signal powers and interference powers measured by the signal power to interference power ratio estimators are supplied to the signal to interference power ratio calculator 109. The signal to interference ratio calculator 109 combines these measured powers and calculates a ratio of the sum of the measured signal powers to the sum of the measured interference powers. The resultant signal power to interference power ratio is converted into Eb/Io by the Eb/Io calculator 110. The estimated Eb/Io is supplied to the power control command generator 111.

The power control command generator 111 compares the estimated Eb/Io with a threshold value (Eb/Io_TH) preliminarily set with respect to a desired Eb/Io . The threshold value Eb/Io_TH is set by a control processor (not shown). The power control command generator 111 generates a power control bit on the basis of a result of this comparison and instructs the mobile station to reduce or increase the transmission power of the mobile station. The power control command bit is combined with data bit in the transmitter modulator and transmitted to the mobile station as mentioned previously.

Fig. 4 shows one of the second stage estimators 112 to 115 in more detail. The second stage estimator includes a second carrier estimator 210 and a signal power to interference power ratio estimator 220. The second stage carrier estimator 210 includes a sample rotation circuit 201, a group divider 202, accumulators 203 to 205 and an interpolator 206. The signal power to interference power ratio estimator 220 includes a signal power estimator 207, a subtractor 208 and an interference power estimator 209.

The sample rotation circuit 201 rotates all of K samples in one slot by using the pilot symbols and the preparatively detected data symbols. The rotation is executed by complex-multiplication of the receiving samples $r = \{r_1, r_2, \dots, r_K\}$ at respective points. In this case, complex conjugate data and the pilot symbols are represented by conjugate format $p_d = \{d_1, d_2, \dots, d_K\}$. The data symbols are decided by the pre-detection process. The rotated samples $r_R = \{r_{1_R},$

$r_{2,R}, \dots, r_{K,R}$ } are divided to J groups by the block divider 202 and so each group includes K/J samples.

Accumulation and averaging of the samples in these groups are executed by the accumulators 203 to 205. The interpolator 206 interpolates the accumulated and averaged values obtained by the accumulators 203 to 205 to form the estimated carrier values $z = \{z_1, z_2, \dots, z_K\}$. The estimated carrier values z are used for the coherent detection.

5 These estimated carrier values are also estimation values of the rotated receiving signals after modulation is removed, that is, s_R . The signal power estimator 207 calculates the signal power S by using the estimated values of the rotated receiving signals s_R . The subtractor 208 calculates a difference between the rotated signals r_R and the estimated rotation signals s_R . The calculated difference is the estimated values of the interference signals. On the basis of such estimated interference signals i , the interference power estimator 209 calculates the interference power I .

10 As described hereinbefore, according to the present invention, the novel and improved method for Eb/lo measurement is proposed, with which the accuracy of Eb/lo measurement is improved. According to the proposed method, a precise power control is achieved. As a result, the performance of the BER/FER of the receiver is improved and the deviation of the receiving signal power is reduced, that is, the transmission power control error is reduced.

15 According to the present invention, it is possible to increase the number of Eb/lo measurement samples by inserting the data symbols. By using all of the samples in each slot for the power measurement, the accuracy of Eb/lo measurement is improved and the performance of the closed loop power control is improved.

20 The Eb/lo measurement error can be substantially reduced by using the two step estimation. The carrier estimation error can also be reduced by the proposed two step estimations. The present invention is very advantageous compared with the method which uses the carrier estimated values in the first stage for Eb/lo measurement. Further, since the over estimation of Eb/lo for low S/I or higher order diversity can be reduced according to the present method, it is possible to control the transmission power to a lower value than in the case where the transmission power is estimated by only Eb/lo.

25 Further, the estimation error within one of the sample groups is prevented from influencing on the estimation of the whole slot by dividing the samples to the groups. Therefore, the influence of such estimation error is limited to the related group.

Further, according to the present invention, it is possible to reduce the amount and the complication of calculation by combining the carrier estimation processing and the Eb/lo measurement processing.

30 The hard decision in the pre-detection is based on the diversity combining signal. The reliability of the decided data is improved by the use of the diversity combining signal for the pre-processing. Since the modulation which may influence the signal similarly to the fading accumulated distortion is removed by using the usual symbol interpolation in the first stage, the carrier synchronization having no hang-up phenomenon can be achieved.

35 Further, the carrier synchronizing method according to the present invention can be applied to the carrier synchronization having an open loop structure which uses a free-running oscillator for mixing a receiving signal in a baseband signal. Therefore, it is possible to prevent the PLL performance from lowering into deep fading.

Further, the present invention proposes the novel carrier synchronizing method for coherent detection for reducing carrier estimation error by using the second stage carrier estimation. The proposed second stage carrier estimation improves the reliability and accuracy in estimation and improves the BER performance of the receiver.

40 In the carrier estimation using the usual pilot interpolation, there is a defect that, when the number of pilot symbols for each slot is small and the ratio of signal power to interference power is small, under-estimation may occur. According to the present invention, it is possible to reduce the number of required pilot symbols or increase the time interval between pilot symbols compared with the usual pilot symbol interpolation.

Further, in the present invention, it is possible to substantially reduce the influence of concomitant noise or the influence of interference against the estimation by averaging the samples in respective groups in the second stage.

45 Further, the present system can improve the accuracy of the estimation channel function and can follow fading which varies at a very fast rate.

Since the proposed second stage estimation is based on the samples in each slot with a slight delay in processing, the proposed method can be used in a discontinuous transmission in a case of lower data rate (sub rate).

The system proposed by the present invention is very suitable to a digital device and satisfies requirements for realizing any digital system.

50

Claims

1. A cellular mobile telephone system comprising a cell device and mobile devices for mutually transmitting and receiving information signal, said cell device being provided with channel estimation means for estimating carriers of received signals from said mobile devices, said channel estimation means comprising:

first estimation means for performing a first stage carrier estimation from pilot symbols having a known code

pattern and inserted into the received signal;

5 pre-detection means for preparatively detecting a data symbol train of the received signal by using an estimated carrier; and

second estimation means for performing a second carrier estimation with respect to the received signal by using the pre-detected data symbol train and the pilot symbol.

10 2. A cellular mobile telephone system as claimed in claim 1, wherein said cell device comprises coherent detection means for coherently detecting the received signal from said mobile device by using the carrier estimated by said channel estimation means.

15 3. A cellular mobile telephone system as claimed in claim 1, wherein, in order to regulate a transmission power of said mobile device, said channel estimation means comprises calculation means for calculating a ratio Eb/lo of signal energy to interference power spectrum strength per bit of the received signal from said mobile device by using the estimated carrier and means for generating a power adjustment command corresponding to a deviation of the calculated ratio from a predetermined ratio Eb/lo.

20 4. A cellular mobile telephone system as claimed in claim 3, wherein said mobile device comprises means responsive to the power adjustment command for regulating a power of a transmission signal from said mobile device.

5. A cellular mobile telephone system as claimed in claim 1, wherein the information signal is transmitted and received by using a code division multiple access (CDMA) spread spectrum communication signal.

25 6. A cellular mobile telephone system as claimed in claim 1, wherein:

30 a plurality of said first estimation means and a plurality of said second estimation means are provided correspondingly to a plurality of communication paths of a channel; and
said pre-detection means comprises means for compensating for a phase and amplitude of the received signal and diversity-combining them and means for determining data symbols from the diversity combined received signal.

7. A cellular mobile telephone system as claimed in claim 6, wherein said channel estimation means comprises:

35 means for obtaining estimated values of the ratio of signal power to interference power of the plurality of said communication paths;
means for calculating a ratio of signal to interference of the channel from the estimated ratio of the signal power to interference power;
40 Eb/lo calculation means for calculating Eb/lo from the ratio of signal to interference; and
power adjustment command generator means for generating a control bit for a closed loop power control from the Eb/lo from said Eb/lo calculation means.

45 8. A cellular mobile telephone system as claimed in claim 1, wherein said first carrier estimation means estimates a carrier by interpolating a carrier of a data symbol portion other than the pilot symbols with the measured channel value obtained by the pilot symbols.

50 9. A cellular mobile telephone system as claimed in claim 1, wherein said pre-detection means comprises hard decision means for performing a hard decision of the data symbols and means for multiplexing the data symbols obtained from the hard decision with known pilot symbols.

10. A cellular mobile telephone system as claimed in claim 9, wherein said hard decision means detects the data symbols by a coherent detection.

55 11. A cellular mobile telephone system as claimed in claim 1, wherein said second estimation means comprises rotation means for rotating the samples of a signal, dividing means for dividing the samples to a plurality of groups, accumulating and averaging means for accumulating and averaging the samples of every group and second interpolation means for interpolating the accumulated and averaged sample values.

12. A cellular mobile telephone system as claimed in claim 11, wherein, in order to remove a modulation, said rotation means multiply the signal sample with a conjugate value or the pilot symbols to provide a condition of the sample accumulation.

5 13. A cellular mobile telephone system as claimed in claim 11, wherein said dividing means divides the rotated signal samples from said rotation means to approximate the signals in the respective groups to quasi static signals in periods of the respective groups.

10 14. A cellular mobile telephone system as claimed in claim 11, wherein said accumulating and averaging means calculates the estimated carrier values of the respective groups by using the accumulation and averaging of the samples to reduce an influence of accompanying noise and interference to thereby improve the estimation performance.

15 15. A cellular mobile telephone system as claimed in claim 11, wherein said second interpolation means interpolates the estimated carrier values given by said accumulating and averaging means, to obtain an estimated value of the carrier signal for compensation for fading distortion, diversity-reception, multiplication for synthesis with maximum ratio and actual coherent detection.

20 16. A cellular mobile telephone system as claimed in claim 11, further comprising signal power estimation means for estimating a signal power by using an output of said second interpolation means and interference power estimation means for estimating an interference power by using an output of said sample rotation means and the output of said second interpolation means.

25 17. A cellular mobile telephone system as claimed in claim 16, further comprising:
Eblo calculation means for calculating a whole Eb/lo by using the signal powers and the interference powers estimated for the plurality of the communication paths; and
power adjustment command generator means for generating a control bit for a closed loop power control by using the Eb/lo obtained by said Eblo calculation means.

30

35

40

45

50

55

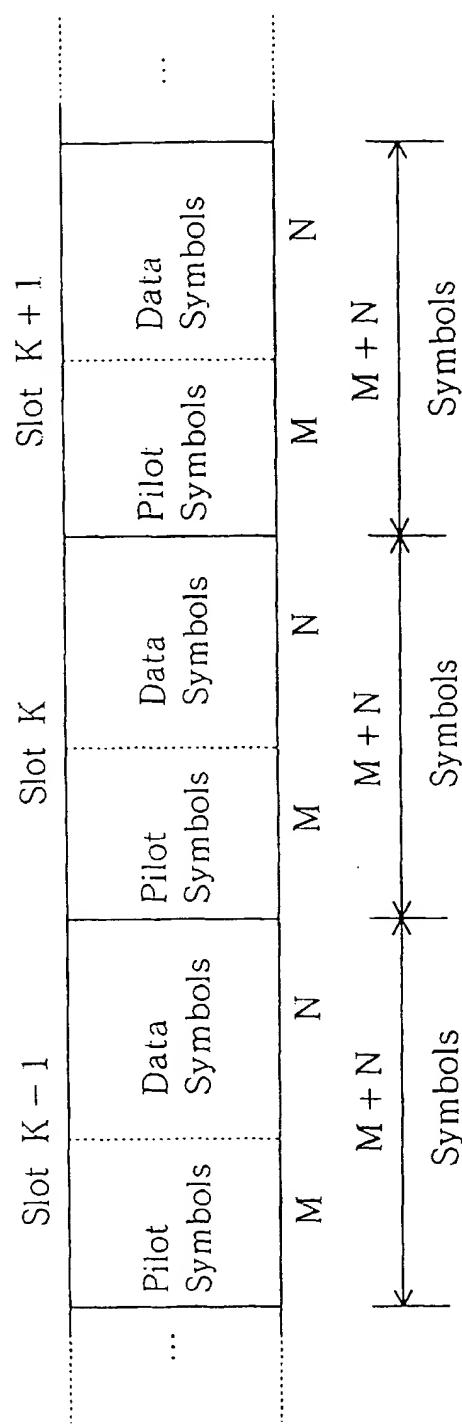


FIG.1

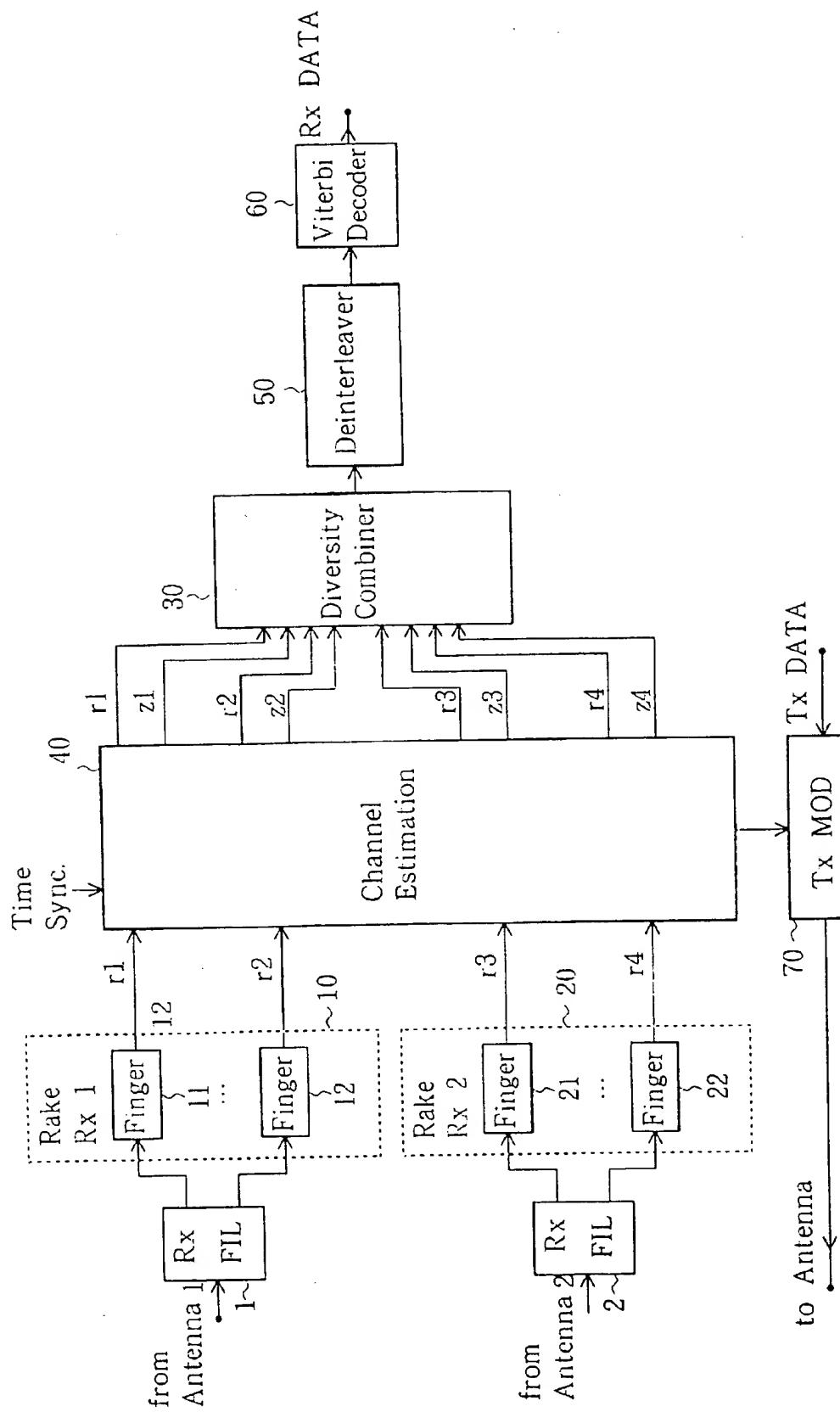


FIG.2

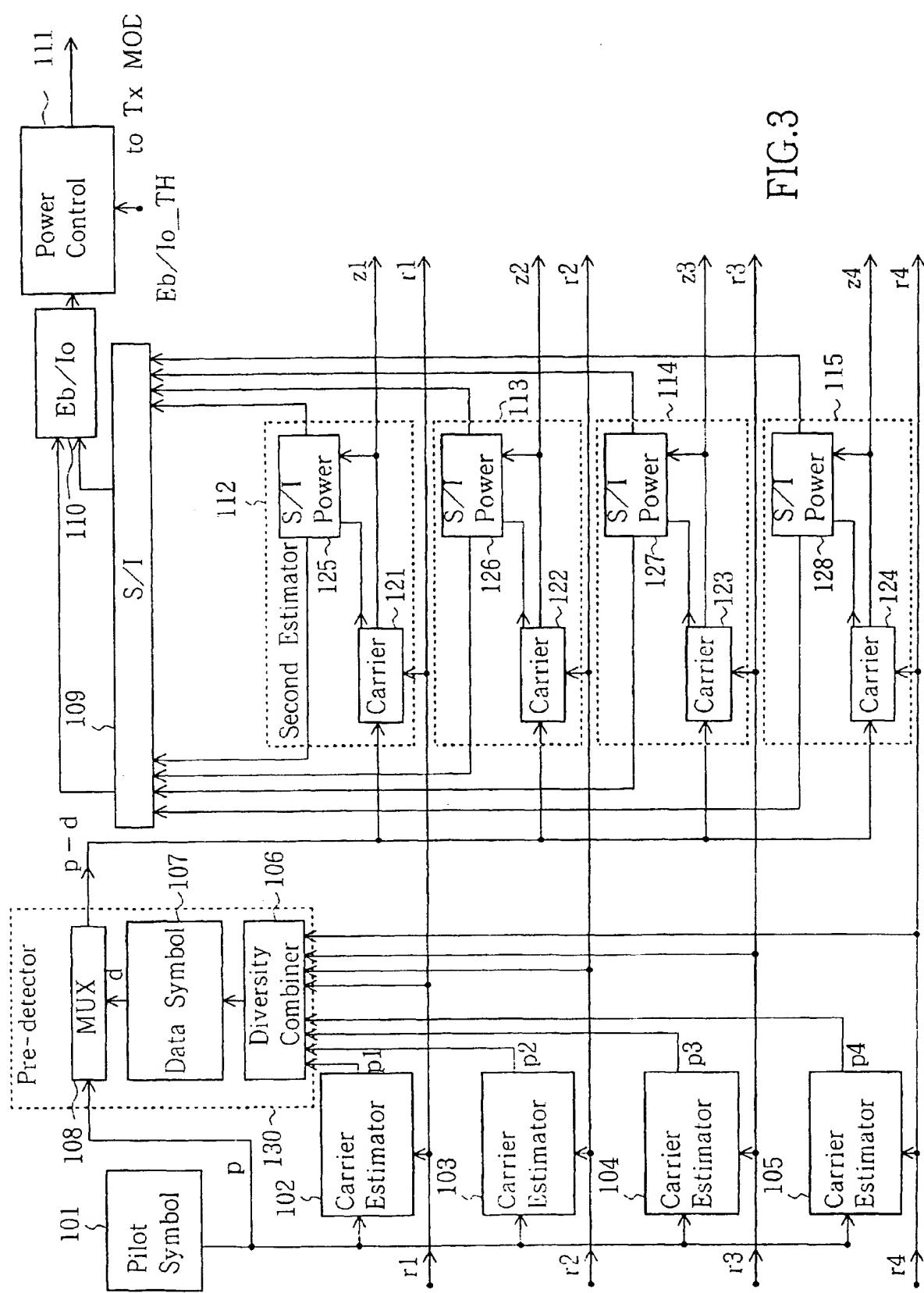


FIG.3

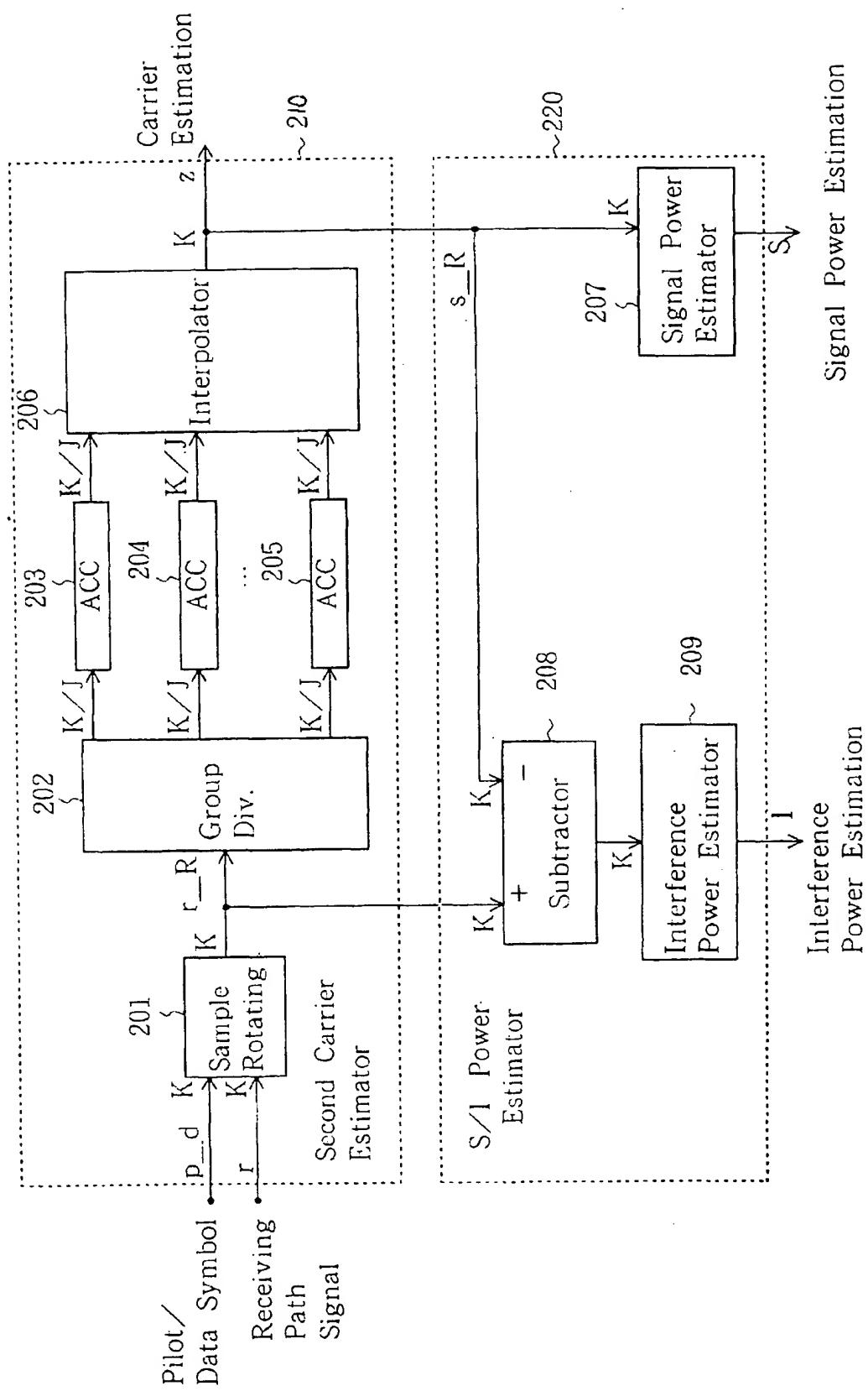


FIG.4

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.

THIS PAGE BLANK (USPTO)